

**COMMONWEALTH OF KENTUCKY
EXECUTIVE BRANCH ETHICS COMMISSION
CASE NO. 08-003**

**IN RE: ANDREA RADFORD
ALLEGED VIOLATION OF KRS CHAPTER 11A**

**INITIATING ORDER
Initiation of Administrative Proceeding
And Formal Complaint**

The Executive Branch Ethics Commission (the "Commission"), upon its own motion, initiated a preliminary investigation of Andrea Radford (the "Respondent"), pursuant to KRS 11A.080(1).

At all relevant times the Respondent was a "public servant" as defined in KRS 11A.010(9), and thus subject to the jurisdiction of the Commission.

The Commission initiated this preliminary investigation to determine whether there was probable cause to believe the Respondent violated provisions of KRS Chapter 11A (also referred to herein as the "Ethics Code").

The Commission notified the Respondent of the preliminary investigation by letter dated March 3, 2008. In the course of its investigation, the Commission focused upon the Respondent's possible violation of KRS 11A.040(5) by her alleged acceptance of a \$300 money order from a consumer claimant she was assisting in the course of her employment as a Consumer Complaint Investigator with the Kentucky Office of Insurance. The Commission found probable cause to believe that KRS Chapter 11A had occurred and voted on July 11, 2008 to initiate an administrative proceeding, pursuant to KRS 11A.080(4)(b) and KRS Chapter 13B, to determine whether the Respondent

violated the Ethics Code as set forth in the Allegation of Violations, attached hereto and incorporated fully herein as Appendix A to this Initiating Order.

IT IS THEREFORE ORDERED that:

1. The Respondent shall file his answer to this Initiating Order within twenty (20) days from the date of service, verifying the truth and accuracy of any answer submitted.

2. The Respondent shall appear at a hearing to be scheduled by subsequent order and be prepared to defend against the Commission's allegations that he committed the Ethics Code violations set forth in the Allegation of Violations, attached hereto and incorporated fully herein as Appendix A to this Initiating Order.

3. All material submitted to the Commission shall be addressed to the Executive Branch Ethics Commission, The Vest-Lindsey House, 401 Wapping Street, Frankfort, Kentucky 40601. The Commission is represented by Becky Goodman, Interim Counsel, who may be contacted through the Commission's office at (502) 564-7954.

4. The Respondent has the right to legal counsel during this proceeding. If the Respondent retains legal counsel, that person shall file an appearance with the Commission, and thereafter all correspondence from the Commission to the Respondent shall be mailed or delivered to the Respondent's attorney.

5. The Respondent has the right to examine upon request, at least five (5) days prior to the hearing, a list of witnesses the Commission expects to call at the hearing, any evidence which will be used at the hearing and any exculpatory information in the Commission's possession.

6. The Respondent has the right to subpoena witnesses on his own behalf. If the Respondent subpoenas witnesses, he shall pay for all costs associated with the subpoenas' issuance, including any applicable witness fees.

7. If the Respondent fails to attend or participate as required at any stage of the administrative hearing process without good cause shown, he may be held in default pursuant to KRS 13B.050(3)(h).

8. The Respondent has a right to appeal any final Commission order to the Franklin Circuit Court within thirty (30) days of service.


9. This proceeding is subject to KRS Chapter 11A, the Commission's regulations, the provisions of KRS Chapter 13B, and any Order issued by the Commission or its hearing officer issued during this administrative proceeding.

So ordered this 11th day of July, 2008.

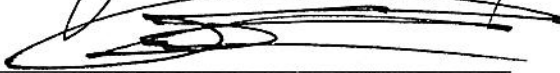
EXECUTIVE BRANCH ETHICS COMMISSION:



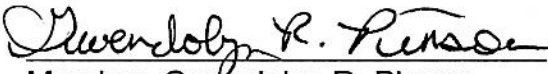
Chair, John A. Webb



Member, J. Quentin Wesley



Member, Ronald L. Green



Member, Gwendolyn R. Pinson

**APPENDIX A
CASE NO
INITIATING ORDER**

ALLEGATION OF VIOLATION

The Respondent, Andrea Radford, was at all times relevant to this investigation an employee of the Commonwealth of Kentucky, serving as a Consumer Complaint Investigator with the Kentucky Office of Insurance. The Respondent was subject to the jurisdiction of the Commission at all relevant times. KRS 11A.010(9)(h).

During the course of its preliminary investigation, the Commission found probable cause to believe that Radford committed the following violation:

COUNT I

Radford violated KRS 11A.040(5) by accepting \$300 in payment from a consumer claimant for performance of her official duties as a Complaint Investigator.

KRS 11A.040(5) provides:

(5) A public servant shall not knowingly accept compensation, other than that provided by law for public servants, for performance of his official duties without the prior approval of the commission.

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